SEC Form 4

FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL OMB Number: 3235-0287 Expires: \_ Estimated average burden hours per response: 0.5

1. Name and Addre	ess of Reporting Perso /ID A.	n*	2. Issuer Name <b>and</b> Ticker or Trading Symbol CAVCO INDUSTRIES, INC. [ CVCO ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) 1001 N. CENTRAL A SUITE 800			3. Date of Earliest Transaction (Month/Day/Year) 09/19/2017	Officer (give title Other (specify below) below)				
(Street) PHOENIX AZ 85004		85004	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(City)	(State) (Zip)			Form filed by More than One Reporting Person				

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (I 8)		4. Securities Disposed Of 5)	Acquirec (D) (Instr	l (A) or . 3, 4 and	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(1150.4)	
Common Stock	09/19/2017		М		3,000	Α	\$37.90	10,175	D		
Common Stock	09/19/2017		<b>F</b> <sup>(1)</sup>		806	D	\$141	9,369	D		
Common Stock	09/20/2017		М		3,000	Α	\$33.03	12,369	D		
Common Stock	09/20/2017		S		3,000	D	\$136.6811 (2)	9,369	D		

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (li 8)		Derivati Securit Acquire or Disp	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ole and n Date ay/Year)	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	1
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

Non-Employee Director Stock Option (Right to Buy)	\$37.90	09/19/2017	Μ		3,000	(3)	10/21/2018	Common Stock	3,000	\$0	0	D	
Non-Employee Director Stock Option (Right to Buy)	\$33.03	09/20/2017	М		3,000	(4)	10/21/2017	Common Stock	3,000	\$0	0	D	

## **Explanation of Responses:**

1. Represents a "net exercise" of outstanding stock options. Mr. Greenblatt received 2,194 shares of common stock on a net exercise of option to purchase 3,000 shares of common stock. Mr. Greenblatt forfeited 806 shares of common stock underlying the option in payment of the exercise price, using the closing stock price on September 18, 2017 of \$141.00.

2. The Price in Column 4 is a weighted average price.

3. The option vested in two equal installments beginning on October 21, 2011.

4. The option vested in two equal installments beginning on October 21, 2010.

/s/ James P. Glew attorney-in-fact for 09/20/2017 David A. Greenblatt

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.