SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	OMB Number:
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3235-0287

0.5

Estimated average burden

OMB APPROVAL

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c) See Instruction 10.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*				lame and Ticker or Trac	• •	OT 10	vo 1		Relationship of Reporting Person(s) to Issuer (Check all applicable)						
BUNGER	STEVEN G	<u>.</u>	CAVC	O INDUSTRIES	<u>, INC.</u> [CVC	XO]		X Direc	ctor	10% Owner				
(Last)	(First)	(Middle)	3. Date of 07/31/20	Earliest Transaction (Mo	onth/Day/Yea	ar)			Officer (give title below) Other (specify b						
c/o 3636 N. C SUITE 1200	CENTRAL AV	ENUE	4. If Amen	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)										n filed by One Reporti n filed by More than C	•	eon			
PHOENIX	AZ	85012							1 0111	Tilled by More than C	The Reporting Fel	3011			
(City)	(State)	(Zip)													
		Tabl	e I - Non-Deriva	tive Securities A	cquired,	Disp	osed of, o	r Ben	eficially	Owned					
		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transac Code (Ins		4. Securities Acquired Disposed Of (D) (Instr. 5)			5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial				
			(Month/Day/Year)	Code	v	Amount	(A) or (D)	Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	Ownership (Instr. 4)				
Common Stoo	ck		07/31/2023		A		600 (1)	A	\$ 0.00	6,142 (2)	D				

			Table II - Deriv					ired, Dispo options, co				Owned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

2. Includes 1,250 shares of Restricted Stock Units allocated but not yet vested or delivered.

Remarks:

/s/ Mickey R. Dragash,
attorney-in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{1.} This is an award of Restricted Stock Units which will pay out into shares of Common Stock of the Company upon: (a) the 12 month anniversary of the Grant Date, or (b) the Company's next annual meeting of stockholders following the Grant Date, whichever occurs first.

^{*} If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).